



Biological Sequence Alignment

Ana Teresa Freitas

technology
from seed




KDBIO Group - Knowledge Discovery and BIOinformatics
INESC-ID/IST



INSTITUTO
SUPERIOR
TÉCNICO

<http://kdbio.inesc-id.pt>


Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa




1 KDBIO Group
08-04-2010

Group Members

technology
from seed




- 6 PhDs
 - Ana Teresa Freitas
 - Arlindo Oliveira
 - Susana Vinga
 - Paulo Fonseca
 - Sara Madeira
 - Sara Silva
- 4 Invited researchers
 - João Carriço
 - Jonas Almeida
 - Marie-France Sagot
 - Luís Russo
- 13 PhD Students
- 11 Graduate fellowships



2 KDBIO Group
08-04-2010

Research of the KDBIO group


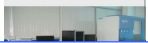
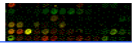



technology
from seed

Machine Learning

Algorithms on Strings,
Trees and Graphs

Programming and
Database Systems

Understanding genetic regulatory networks

Seq
Gen

motifs in DNA
and RNA

Genotype-phenotype
linkage

Improving clinical diagnosis

Genotyping
methods


Modeling of
metabolic networks

Inference and modeling
of regulation networks

Information systems

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa
 3 KDBIO Group 08-04-2010

Research action lines




technology
from seed

- **Algorithms for DNA and RNA sequence processing**
 - Methods for de novo assembly of short-read sequencing data
 - Methods for both re-sequencing and genome analysis

- **Modeling and systems biology**
 - Prediction and integration of metabolic and regulatory networks
 - Evolutionary computation methods in systems biology

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa
 4 KDBIO Group 08-04-2010


Research action lines


technology
from seed

- **Inference and modeling of regulatory networks**
 - Network structural patterns and dynamics
 - Integrative approaches to regulatory module identification
 - Integrative microarray analyses
- **Information systems for life sciences**
 - Semantic web data management systems for clinical and biological data
- **Methods for improving clinical diagnosis**
 - Exploration of complex genotype-phenotype correlations using machine learning
 - Integrative approaches to study complex diseases

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa
5 KDBIO Group 08-04-2010


The collaborations


technology
from seed

- **INESC-ID**
 - The Control of Dynamic Systems Group
 - The SAT Group
- **IST (Portugal)**
 - The Biological Sciences Research Group
- **ITQB/UNL (Portugal)**
 - The Molecular Genetics Laboratory
 - The Cell Physiology & NMR Group
 - The Plant Cell Biotechnology Laboratory
- **FCM/UNL (Portugal)**
 - Department of Genetics
- **IPATIMUP (Portugal)**
 - Genetic Diversity
- **France**
 - The BAOBAB Group of LBBE/CNRS
 - The BAMBOO Group of INRIA
 - The IBIS Group of INRIA
- **USA**
 - The MD Anderson Cancer Research Center Bioinformatics Group
 - The Laboratory for Biological Systems Analysis, Georgiatech
- **Brazil**
 - The LNCC, Laboratório Nacional de Computação Científica
- **Spain**
 - YAHOO!Research Barcelona
- **Italy**
 - Istituto ingegneria biomedica del CNR
- **Belgium**
 - Bioinformatics Research Group K.U.Leuven

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa
6 KDBIO Group 08-04-2010

Biological sequence alignment



technology
from seed

Outline


- Similarity versus homology
- Scoring model
- Alignment algorithms and methods
- Pay close attention to the results

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

7 KDBIO Group

08-04-2010

Similarity versus homology



technology
from seed

Francois Jacob (1977)

- “Nature is a tinkerer and not an inventor” [Evolution and tinkering, science 196:1161-1166]


Eric Wieschaus (1995)

- “We didn’t know it at the time, but we found out everything in life is so similar, that the same genes that work in flies are the ones that work in humans.” [Associated Press, 9 October, 1995]

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

8

Similarity versus homology



technology
from seed

- Searching for similarities between biological sequences
 - Comparative genomics
 - Phylogenetics
 - Genome assembly and annotation
 - Single nucleotide polymorphisms identification
 - ...

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

9

Similarity versus homology


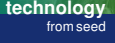

technology
from seed

Identity	<ul style="list-style-type: none"> • Refers to the occurrence of exactly the same nucleotide or amino acid in the same position of the aligned sequences
Similarity	<ul style="list-style-type: none"> • Takes approximate matches into account. Is meaningful only when substitutions are scored
Homology	<ul style="list-style-type: none"> • Sequences A and B look much the same, but also all of their ancestors looked the same, going all the way back to a common ancestor

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

10

Pairwise Sequence Alignment

The problem of deciding if a pair of sequences are evolutionarily related or not


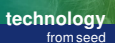
Two biological sequences are similar \Leftrightarrow Two strings are similar

Three things are needed:

- A means of scoring matches and mismatches
- A means of scoring gaps
- A method to evaluate numerous of possible alignments

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa
11

Distance Between DNA Sequences

Definition:

The *edit distance* between two strings is defined as the minimum number of edit operations – insertions, deletions and substitutions – needed to transform the first string into the second.

Note that matches are not counted

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa
12

Gaps



technology
from seed

- Gaps help create alignments that better conform to underlying biological models
- Mechanisms that make long insertions or deletions in DNA include: unequal crossing-over in meiosis; DNA slippage during replication; insertion of transposable elements into DNA string; insertions of DNA by retro-viruses; etc...

Definition: A *gap* is any maximal, consecutive run of spaces in a single string of a given alignment

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

13

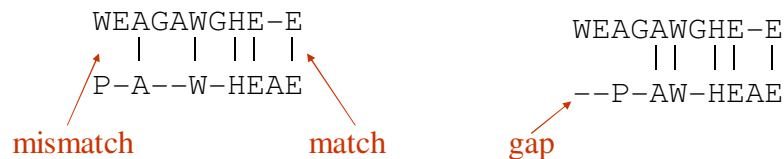
Pairwise Sequence Alignment



technology
from seed

- Example:

WEAGAWGHEE
PAWHEAE


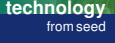


- Which one is better?
- Is it a true or a spurious alignment?

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

14

Scoring


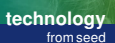
Use a scoring scheme that quantify evolutionary preferences

- PAM or BLOSUM matrices
 - Matches and mismatches
- Gap penalty
 - Initiating a gap
- Gap extension penalty
 - Extending a gap

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

15

The Scoring Model

- The score assigned to an alignment is computed using this function:

$$S = \sum_i s(s_1(i), s_2(i)) + G(g)$$

where $s(s_1(i), s_2(i))$ is the score for each aligned pair of residues, and $G(g)$ the gap penalties

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

16

Example



technology
from seed

	A	E	G	H	W
A	5	-1	0	-2	-3
E	-1	6	-3	0	-3
H	-2	0	-2	10	-3
P	-1	-1	-2	-2	-4
W	-3	-3	-3	-3	15

- Gap penalty: -8
- Gap extension: -8

WEAGAWGHE-E
 | | | |
 --P-AW-HEAE

Exercise: Calculate for

WEAGAWGHE-E
 | | | |
 P-A--W-HEAE

$$(-8) + (-8) + (-1) + (-8) + 5 + 15 + (-8) + 10 + 6 + (-8) + 6 = 1$$

$$(-4) + (-8) + 5 + (-8) + (-8) + 15 + (-8) + 10 + 6 + (-8) + 6 = -2$$

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

17

Original Amino Acid Score Matrix



technology
from seed

	A	R	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	5	-2	-1	-2	-1	-1	-1	0	-2	-1	-2	-1	-1	-3	-1	1	0	-3	-2	0
R	-2	7	-1	-2	-4	1	0	-3	0	-4	-3	3	-2	-3	-3	-1	-1	-3	-1	-3
N	-1	-1	7	2	-2	0	0	0	1	-3	-4	0	-2	-4	-2	1	0	-4	-2	-3
D	-2	-2	2	8	-4	0	2	-1	-1	-4	-4	-1	-4	-5	-1	0	-1	-5	-3	-4
C	-1	-4	-2	-4	13	-3	-3	-3	-3	-2	-2	-3	-2	-2	-4	-1	-1	-5	-3	-1
Q	-1	1	0	0	-3	7	2	-2	1	-3	-2	2	0	-4	-1	0	-1	-1	-1	-3
E	-1	0	0	2	-3	2	6	-3	0	-4	-3	1	-2	-3	-1	-1	-1	-3	-2	-3
G	0	-3	0	-1	-3	-2	-3	8	-2	-4	-4	-2	-3	-4	-2	0	-2	-3	-3	-4
H	-2	0	1	-1	-3	1	0	-2	10	-4	-3	0	-1	-1	-2	-1	-2	-3	2	-4
I	-1	-4	-3	-4	-2	-3	-4	-4	-4	5	2	-3	2	0	-3	-3	-1	-3	-1	4
L	-2	-3	-4	-4	-2	-2	-3	-4	-3	2	5	-3	3	1	-4	-3	-1	-2	-1	1
K	-1	3	0	-1	-3	2	1	-2	0	-3	-3	6	-2	-4	-1	0	-1	-3	-2	-3
M	-1	-2	-2	-4	-2	0	-2	-3	-1	2	3	-2	7	0	-3	-2	-1	-1	0	1
F	-3	-3	-4	-5	-2	-4	-3	-4	-1	0	1	-4	0	8	-4	-3	-2	1	4	-1
P	-1	-3	-2	-1	-4	-1	-1	-2	-2	-3	-4	-1	-3	-4	10	-1	-1	-4	-3	-3
S	1	-1	1	0	-1	0	-1	0	-1	-3	-3	0	-2	-3	-1	5	2	-4	-2	-2
T	0	-1	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	2	5	3	-2	0
W	-3	-3	-4	-5	-5	-1	-3	-3	-3	-3	-2	-3	-1	1	-4	-4	-3	15	2	-3
Y	-2	-1	-2	-3	-3	-1	-2	-3	2	-1	-1	-2	0	4	-3	-2	-2	2	8	-1
V	0	-3	-3	-4	-1	-3	-3	-4	-4	4	1	-3	1	-1	-3	-2	0	-3	-1	5

18

250 PAM evolutionary distance

ORIGINAL AMINO ACID

	Ala A	Arg R	Asn N	Asp D	Cys C	Gln Q	Glu E	Gly G	His H	Ile I	Leu L	Lys K	Met M	Phe F	Pro P	Ser S	Thr T	Trp W	Tyr Y	Val V
Ala A	10	6	9	9	5	8	9	12	6	8	6	7	7	4	11	11	11	2	4	9
Arg R	3	17	4	3	2	5	3	2	6	3	2	9	4	1	4	4	3	7	2	2
Asn N	4	4	6	7	2	5	6	4	6	3	2	5	3	2	4	5	4	2	3	3
Asp D	5	4	8	11	1	7	10	5	6	3	2	5	3	1	4	5	5	1	2	3
Cys C	2	1	1	1	52	1	1	2	2	2	1	1	1	1	2	3	2	1	4	2
Gln Q	3	5	5	6	1	10	7	3	7	2	3	5	3	1	4	3	3	1	2	3
Glu E	5	4	7	11	1	9	12	5	6	3	2	5	3	1	4	5	5	1	2	3
Gly G	12	5	10	10	4	7	9	27	5	5	4	6	5	3	8	11	9	2	3	7
His H	2	5	5	4	2	7	4	2	15	2	2	3	2	2	3	3	2	2	3	2
Ile I	3	2	2	2	2	2	2	2	2	10	6	2	6	5	2	3	4	1	3	9
Leu L	6	4	4	3	2	6	4	3	5	15	34	4	20	13	5	4	6	6	7	13
Lys K	6	18	10	8	2	10	8	5	8	5	4	24	9	2	6	8	8	4	3	5
Met M	1	1	1	1	0	1	1	1	1	2	3	2	6	2	1	1	1	1	1	2
Phe F	2	1	2	1	1	1	1	1	3	5	6	1	4	32	1	2	2	4	20	3
Pro P	7	5	5	4	3	5	4	5	5	3	3	4	3	2	20	6	5	1	2	4
Ser S	9	6	8	7	7	6	7	9	6	5	4	7	5	3	9	10	9	4	4	6
Thr T	8	5	6	6	4	5	5	6	4	6	4	6	5	3	6	8	11	2	3	6
Trp W	0	2	0	0	0	0	0	0	1	0	1	0	0	1	0	1	0	55	1	0
Tyr Y	1	1	2	1	3	1	1	1	3	2	2	1	2	15	1	2	2	3	31	2
Val V	7	4	4	4	4	4	4	4	5	4	15	10	4	10	5	5	5	72	4	17

PAM Matrices

Evolutionary distance (PAM)	Observed % difference
1	1
11	10
23	20
38	30
56	40
80	50
120	60
159	70
250	80

Most widely used PAM 250

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

20

PAM vs. BLOSUM

technology
from seed

inescid
lisboa

- PAM model is designed to track evolutionary origin of proteins
- Blosum model is designed to find conserved domains of proteins

Thumb rules

- Lower PAMs and higher Blosums find short local alignment of highly similar sequences
- Higher PAMs and lower Blosums find longer weaker local alignment

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

21



Alignment Algorithms

How difficult is this?



technology
from seed

- Consider two sequences of length n
- There are

$$\binom{2n}{n} = \frac{(2n)!}{(n!)^2} \approx \frac{2^{2n}}{\sqrt{\pi n}}$$

possible global alignments, and we need to find an optimal one from amongst those

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

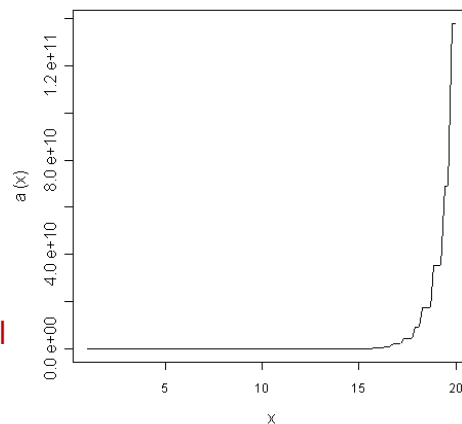
23

So what?



technology
from seed

- So at $n = 20$, we have over **120 billion** possible alignments
- We want to be able to align much, much longer sequences
 - some proteins have **1000** amino acids
 - genes can have **several thousand** base pairs



Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

24

Global vs. Local Alignment

technology
from seed

inescid
lisboa

The diagram illustrates two types of sequence alignment. In the top section, labeled 'Global Alignment', two sequences, A and B, are shown as horizontal lines. Sequence A is longer than sequence B. A downward arrow points to a single alignment line where the two sequences are aligned end-to-end. In the bottom section, labeled 'Local Alignment', the same two sequences are shown. A downward arrow points to two separate alignment lines, each showing a segment of sequence A aligned with a segment of sequence B.

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

25

Dynamic Programming (DP)

technology
from seed

inescid
lisboa

DP algorithms are guaranteed to find the optimal scoring alignment or set of alignments, given an additive alignment score

The simplest DP alignment algorithms to understand are pairwise sequence alignment algorithms

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

26

Dynamic Programming

technology
from seed

inescid
lisboa

New best alignment = previous best + local best

Sequence A

Sequence B

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

27

Needleman-Wunsch Algorithm (1970)

technology
from seed

inescid
lisboa

- **Problem: PairSequenceAlignment**
- **Input:** Two sequences x, y
Scoring matrix $s(x, y)$
Linear gap score d
- **Output:** The optimal sequence alignment

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

28

F matrix

Three ways to obtain the best score $F(i,j)$

- x_i is aligned to y_j
- x_i is aligned to a gap
- y_j is aligned to a gap


$$F(i,j) = \max \begin{cases} F(i-1, j-1) + s(x_i, y_j) \\ F(i-1, j) - d \\ F(i, j-1) - d \end{cases}$$

$F(i-1, j-1)$ <small>$s(x_i, y_j)$</small>	$F(i, j-1)$ <small>$-d$</small>
$F(i-1, j)$ <small>$-d$</small>	$F(i, j)$

- While building the table, keep track of where optimal score came from
- Initialize: $F(0,0) = 0$, $F(i,0) = -id$, $F(0,j) = -jd$
- Fill from top left to bottom right using the recursive relation

$$F(i, j) = \max \begin{cases} F(i-1, j-1) + s(x_i, y_j) \\ F(i-1, j) - d \\ F(i, j-1) - d \end{cases}$$

Example



technology


from seed

		H	E	A	G	A	W	G	H	E	E
	0	-8	-16	-24	-32	-40	-48	-56	-64	-72	-80
P	-8	-2	-9	-17	-25	-33	-41	-49	-57	-65	-73
A	-16										
W	-24										
H	-32										
E	-40										
A	-48										
E	-56										

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

31

Example



technology

from seed

		H	E	A	G	A	W	G	H	E	E
	0	-8	-16	-24	-32	-40	-48	-56	-64	-72	-80
P	-8	-2	-9	-17	-25	-33	-41	-49	-57	-65	-73
A	-16	-10	-3	-4	-12	-20	-28	-36	-44	-52	-60
W	-24	-18	-11	-6	-7	-15	-5	-13	-21	-29	-37
H	-32	-14	-18	-13	-8	-9	-13	-7	-3	-11	-19
E	-40	-22	-8	-16	-16	-9	-12	-15	-7	3	-5
A	-48	-30	-16	-3	-11	-11	-12	-12	-15	-5	2
E	-56	-38	-24	-11	-6	-12	-14	-15	-12	-9	1

$F(n,m)$ is the best score for the alignment

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

32

		H	E	A	G	A	W	G	H	E	E
	0	-8	-16	-24	-32	-40	-48	-56	-64	-72	-80
P	-8	-2	-9	-17	-25	-33	-41	-49	-57	-65	-73
A	-16	-10	-3	-4	-12	-20	-28	-36	-44	-52	-60
W	-24	-18	-11	-6	-7	-15	-5	-13	-21	-29	-37
H	-32	-14	-18	-13	-8	-9	-13	-7	-3	-11	-19
E	-40	-22	-8	-16	-16	-9	-12	-15	-7	3	-5
A	-48	-30	-16	-3	-11	-11	-12	-12	-15	-5	2
E	-56	-38	-24	-11	-6	-12	-14	-15	-12	-9	1

Trace arrows back from the lower right to top left

- Diagonal – both
- Up – upper gap
- Left – lower gap

HEAGAWGHE-E
--P-AW-HEAE

Algorithm complexity



technology
from seed

- Stores $(n+1) \times (m+1)$ numbers
- Each number costs a constant number of calculations
 - 3 sums and a max
- Computes $(n+1) \times (m+1)$ matrix entries
 - $O(n^2)$ algorithm
- They are not the fastest available methods
 - Genbank (106,533,156,756 bases): 100 x 10⁹ bases
 - sequence of length 1000: 10¹⁴ matrix cells
 - machine, 1GHz and 1Gb RAM
 - (10⁹ steps/second) : ≈1 day

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

34

Heuristic algorithms



technology
from seed

- Heuristic approaches sacrifice some sensitivity
 - They can miss the best scoring alignment
- Best-known algorithms:
 - BLAST (Basic Local Alignment Search Tool)
 - FASTA (FAST All)

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

35

BLAST



technology
from seed

Dictionary

- All words of length w

Alignment

- *Ungapped* extensions until score falls below some threshold


Output

- All local alignments with score higher than threshold

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa

36

BLAST Programs




technology
from seed

blastn	• Nucleotide-nucleotide
blastp	• Protein-protein
blastx	• Translated query vs. protein database
tblastn	• Protein query vs. translated database
tblastx	• Translated query vs. translated database (6 frames each)

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa
 39

Pay close attention to the results



technology
from seed

- Most sequences that share significant similarity are homologous
- Many homologous sequences do not share significant similarity

DNA comparison

If 50% similarity =>
HOMOLOGY ?????

Protein comparison

If 40% similarity =>
HOMOLOGY ???

Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa
 40 KDBIO Group 08-04-2010

The slide features a header bar at the top with a green-to-blue gradient. On the right side of the header, the text "technology from seed" is displayed. The "inescid lisboa" logo is positioned in the center of the header. The main content area is white and contains a blue, rounded square graphic with the text "Questions?" written in white. At the bottom of the slide, there is a footer containing the text "Instituto de Engenharia de Sistemas e Computadores Investigação e Desenvolvimento em Lisboa", the number "41", the text "KDBIO Group", and the date "08-04-2010".